Retail Market Opening Programme Dashboard Report

Period
19 December 2016 - 23 January

Programme Status	Amber
The programme status rating is reviewed monthly and assesses overall progress towards market opening in April 2017. It reflects: a) the current levels of risk set out in the Open Water Risk Register; b) progress to date against the milestones set out on the level 1 plan and critical path.	

Summary of Status and Achievements against level 1 programme plan in this period	Owner	Status	RAG
User acceptance testing phase 2 of CMOS	MOSL	UAT testing still outstanding for 2.1 but market particpants have agreed system completion in line with 2.3 release plans. Contractual close-out is 22 May.	A
Run retail exit application process	Defra	Exits process concluded ahead of schedule (market opening decisions for 12.12.16)	Complete for market opening (ongoing activity)
Draft appeals regime for the codes	Defra	Drafting complete and submitted to parliamentary committee for scrutiny ahead of laying.	Complete
Statutory consultation on MAC and WRC	Ofwat	Responses received by 16 December. Final WRC and MAC will be published on 17 February.	Complete
Commencement work for retail complete	Defra	This milestone actually covers two stages of activity - the first is preparing the content of the final commencement order which is on track to be complete as per the Level One Plan. We do not intend to formally make the order until mid-March because we do not require the provisions to come into force until 1 April.	On track
Readiness assessment	MOSL	MCR and LoA process	On track
Pre go-live comms	MOSL	Comms strategy in progress	On track
Release planning	MOSL	2.3 release into MPS end of w/c 16 January - market particpants requesting 3 weeks user testing so 2 week delay projected to final release. Expected future release schedule for post-market opening communicated to market particpants	A
Appeals regulations in Houses of Parliament	Defra	Regulations are on track to be laid before parliament in late January, however there is a significant volume of wider parliamentary business which may delay the passage of our regulations.	A
Appeals system in place	Ofwat	Appeals system will be put in place once we have seen Defra's response document to the consultation.	On track
Election of enuduring codes panel	Ofwat	Election of wholesalers and new entrants complete. Insufficient nominations received from associated retailers. We have had to re-run the process for election of associated retailers and expect this to be complete by 31 January.	A

Summary of Status and Achievements against level 1 programme plan in next period		Status	RAG
Market report to Ofwat / Defra	MOSL	On track	On track
TP market entry assurance certification - issued to all TPs	MOSL	Complete or on track to complete for those who are planning to be in the market on the first day - ongoing activity for new entrants joining after market opening	On track

Critical Path progress	Owner	Dependencies and Status	Due date	Achieved
Codes baselined, System requirements sign off, MCR published, Trading Party data submitted for CMOS build, SoS WSSL Ofwat authorisation, WSSL application opens, SPIDS allocated			to 01.04.16	Complete
CMOS build complete Testing starts	MOSL	Build Phase 2 complete and UAT Testing near completion Build Phase 2.1 build complete and testing ongoing.	04.04.16	Complete
WSSL exit application deadline	Ofwat	17 WSSL applications received, 15 granted.	01.07.16	Complete
CMOS UAT completed	MOSL	Central Market Operating System User Acceptance testing near completion for Build phase 2 and underway for Build phase 2.1. Market particpants have agreed system completion in line with 2.3 but contractual sign-off 22 May - this is the point where all defects will be fixed.	31.07.16	Delayed
Final test data load (Companies and MOSL)	MOSL	Data load 3 complete	15.08.16	Complete
Market entry assurance certification for start of retail exit application process (Companies and MOSL)	MOSL	System testing required for certificate issuance. Certificates issued for WSSL issuance. WSSL required for exit application.	19.09.16	Complete
Final data load, MOSL accepts CMOS (Companies and MOSL)	MOSL	Data load 3 complete	30.09.16	Complete
Exit applications open	Defra	Exit regs laid before Parliament on schedule and debated w/c 4th July. Formally approved w/c 11th July and officially 'made' (signed by Ministers) and published mid-July.	03.10.16	Complete
Assurance letter - interim	All parties	Companies submit assurance letters to Ofwat by 7 October. Ofwat and MOSL submit assurance letters to Defra by 14 October. Defra submit all assurance letters, including their own, to Secretary of State by 21 October.	21.10.2016	Complete
WSSL application deadline (for simple, complete, self certified MEAC only)	Ofwat	Last possible date for companies to apply for a WSSL that would be granted before market opening	01.01.17	Complete
Final exit decision	Defra	Retail exit applications granted to all parties who submitted for market opening and published on Gov.uk (12.12.16)	01.01.17	Complete
SoS decision on WRC and MAC	Defra	SoS has a veto period of 28 days on the codes released for consultation.	01.01.17	Complete
Final assurance letter	All	The final decision letter looks at many aspects of readiness for an open market. 1st and 2nd assurance letters completed successfully	17.02.17	On track
MOSL business plan 2017/18 approved	MOSL	Approved at the general meeting 15 December.	28.02.17	Complete
SoS decision on market opening	Defra	Secretary of State to sign off market opening	15.03.17	Requires Final Assurance letter

Risks and Issues - changes in this period (refer to risk register)	Owner	Change or Mitigation Action completed	Change	Target
#Risk 5 - Delivery of IT systems	MOSL	Risk increased due to a one week delay in the release of 2.26 and a projected two week delay to 2.3.	Increase from 9 to 12.	6

Status RAG Rating Definitions	RAG
Red: Late and on the critical path or, uncontrolled and not on critical path	R
Amber: At risk, or late but controlled and not on critical path	A
Green: On track	G